

## FACTS

### WHAT DOES QUANTUM FINANCIAL PLANNING SERVICES, INC. (“QUANTUM”) DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number, date of birth, marital status
- Financial information such as income and net worth
- Investment history and investment goals

When you are no longer our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Quantum chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Quantum Financial Planning Services share? | Can you limit this sharing? |
|--|---|-----------------------------|
| <b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes   | No                          |
| <b>For our marketing purposes—</b> to offer our products and services to you   | Yes   | No                          |
| <b>For joint marketing with other financial companies</b>  | No  | We don’t share              |
| <b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences   | No  | We don’t share              |
| <b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness   | No  | We don’t share              |
| <b>For non-affiliates to market to you</b>   | No  | We don’t share              |

#### Questions?

Call 509-328-6653 or visit [www.quantumplanning.com](http://www.quantumplanning.com)

## Who we are

Who is providing this notice?

Quantum Financial Planning Services, Inc.

## What we do

How does Quantum protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Quantum collect my personal information?

We collect your personal information, for example, when you

- Open an investment account
- Obtain financial planning services from us.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- We have no affiliated companies

**Non-affiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies. Quantum does not share with non-affiliates except in certain circumstances:

- Our non-affiliated business partners include TD Ameritrade Institutional, Black Diamond, ByAllAccounts, Charles Schwab, and Right Capital.

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Quantum does not jointly market

## Other important information

You may have privacy protections under applicable state laws, including those for California residents. To the extent the state laws apply, we will comply with them when we share information about you, and in some case may be limited by you.

### *California Residents:*

The California Information Privacy Act provides additional protections to control whether we share some of your personal information. In accordance with California law, we will not share information we collect about California residents with outside companies, except as permitted by law, such as with the consent of the customer or to service a customer's accounts.